Broker Application For Accreditation

TEACHERS MUTUAL BANK LIMITED

Broker Personal and Business Details

Name as per photo identification

Title ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other

Last name | First Name

Middle names(s) | Date of birth

Residential address (PO Box is not acceptable)

Aggregation Group

Business/Broker group Name | Number of Brokers in group

Business/Company Address

Business/Company Mailing Address (if different from above)

Business Phone Number | Business Mobile Number

Broker Email Address

Licensing/Registration Details – Please select from the 3 options and provide details

1. ☐ I am a Credit Representative (CR) of a holder of an Australian Credit Licence (ACL):

ACL Registered Name: | ACL Number:

Your Name: | CR Number:

2. ☐ I have been issued with an ACL:

ACL Registered Name: | ACL Number:

3. ☐ I am an employee/director of a holder of an ACL (please circle):

ACL Registered Name: | ACL Number:

Broker Declarations

Within the last ten years have you ever been declared bankrupt or been subject to control under the Bankruptcy Act 1966? If yes, please provide explanation why and the bankruptcy discharge date.	☐ Yes - if yes please provide details below.	☐ No
Have you been found guilty of any offence or crime, or charged with any offence including fraud, dishonesty or money laundering in the last 5 years which has not been fully determined before a court or otherwise withdrawn or dismissed?	☐ Yes - if yes please provide details below.	☐ No
Have you ever had an application for the grant or renewal of a financial services licence or registration refused or declined?	☐ Yes - if yes please provide details below.	☐ No
Have you had a lender, originator or industry association decline an application or withdraw your/their accreditation of membership?	☐ Yes - if yes please provide details below.	☐ No
Have you had any licence, registration or permission to carry a business under any enactment suspended or cancelled, or otherwise been disqualified from carrying on any occupation, profession or business?	☐ Yes - if yes please provide details below.	☐ No
Have you had any Professional Indemnity Insurance (PI) declined in the last 5 years?	☐ Yes - if yes please provide details below.	☐ No

Please provide further details where you have answered YES to any of the above:

I acknowledge that I hold one, as a minimum, either Certificate IV or Diploma in Financial Services (Finance/Mortgage Broking).	☐ Yes	☐ No
I acknowledge that I have completed the minimum of one year experience in the banking or mortgage broking industry.	☐ Yes	☐ No

Privacy Statement

What this statement is about
Your right to privacy is important to us. The Privacy Act 1988 regulates the way Teachers Mutual Bank Limited ("we", "our" or "us") uses personal information. This statement explains your privacy rights and our rights and obligations in relation to personal information about you. Please read it carefully.

We collect personal information to assist us in processing Applications for Accreditation. Generally, we collect such personal information directly, but we may also collect this from, eg, a credit reporting agency (see below under **Disclosure of personal information about you**). If you do not provide us with sufficient personal information about you, we may not be able to enter into formal arrangements with you. Our Credit Reporting and Privacy Policy is available on our website tmbank.com.au. The Credit Reporting and Privacy Policy sets out how you can access and seek correction to personal information about you; how you may make a complaint regarding a privacy breach and how we deal with privacy complaints.

How we may use personal information about you

We use personal information about you to:

– assess whether to accept your Application for Accreditation
– administer and manage our relationship with you
– facilitate our internal business operations, including fulfilment of any legal requirements and confidential systems maintenance and testing, and
– inform you of products and services provided by us which we consider may be of value or interest to your clients.

Disclosure of personal information about you
We may disclose your personal information if it is necessary to do so in the following circumstances:

– to any regulatory body
– to your employer or the aggregator with which you engage in credit activities
– to any credit reporting agency to obtain a commercial credit report, trade reference or other commercial information about you to consider your Application for Accreditation
– to our external service providers that provide services for the purposes only of our business, on a confidential basis, for example legal advisers and mailing houses
– to any persons acting on your behalf, including a solicitor or accountant, unless you tell us not to
– to any party acquiring an interest in any business and
– if you request us to do so or if you consent or where the law requires or permits us to do so.

We are not likely to disclose personal information about you to overseas recipients.

V20170106

Broker Name	Broker Signature	Date

Aggregator approval and declaration

I request that The Bank accredit _____ to act as our credit representative/nominee in accordance with our intermediary arrangements.

I confirm that the information provided in this application is true and correct and that the broker applying for accreditation in this application meets the following criteria and has provided our office with evidence of the same;

1. _____ year(s) banking and or mortgage broking industry experience
   Insert years
2. Holds either Certificate IV or Diploma in Financial Services (Finance/Mortgage Broking)
3. Australian Citizen or Permanent Resident of Australia

I acknowledge that _____
Insert Aggregator Name

- Is responsible for the Broker's conduct, remuneration and legislative obligations;
- Will promptly notify Teachers Mutual Bank Limited of any change to our nominee's licensing status;
- Confirm that if they are a credit representative, the scope of their authority is sufficient to carry out all the services under the intermediary agreement and;
- Presently hold and will maintain, as a minimum, valid industry memberships (MFAA/FBAA/AFCA), Professional Indemnity Insurance and compliance documentation for the Broker.

Name	Signature	Date
Position		